



Identification Reference - Australian Company, Association or Co-operative

(Know Your Customer)

1 Full Name of Company, Association or Co-operative

2 Is the company/association/co-operative registered?

No You must supply an original or certified* copy of one identification document (the rules or constitution of the association) to assist with verification of this entity.

Go to **4**

Yes

Full Address of the Registered Office

Postcode

3 Is it registered with ASIC?

ACN

Yes

No You must supply an original or certified* copy of one identification/registration document from the relevant State/Territory registration body to assist with verification of this entity. (Alternatively, associations can supply their rules or constitution and co-operatives can supply information from their register).

Unique Identifying/Registration Number

4 Full Address of Place of Business, Administration, or Operations (if any). Alternatively, if an association, provide the residential address of the public officer or, if there is no such person, the association's president, secretary or treasurer. If a co-operative, provide the residential address of the co-operative's secretary or, if there is no such person, the co-operative's president or treasurer. (If same as address provided in 2 above write 'as above')

Postcode

5 Proprietary/Private companies, associations and co-operatives only. Full name(s) of each company director or association/co-operative chairman, secretary and treasurer (or equivalent in each case).

Full Name (surname last) and Position or Role (attach list if more than 3 names)

6 Proprietary/private companies only. Is the company licensed and subject to regulatory oversight by a Commonwealth, State or Territory statutory regulator?

Yes Go to **7**

No

Full name(s) and address of any individual who owns, through one or more share holdings, more than 25% of the issued capital of the company.

Full Name (surname last) and Residential Address

- 7 Authorised Officer(s) Details**
- An Authorised Officer must be a director of the company or office holder of the association/co-operative.
 - The Authorised Officer(s) must supply an original or certified* copy of one identification document to establish that they hold this position. The Authorised Officer(s) of a registered company or association/co-operative must obtain a recent director's listing (or equivalent) from the relevant registration body or their approved information broker(s) showing each Authorised Officer's full name, and position or role, address and date of birth. The Authorised Officer(s) of an unregistered association must supply the recent minutes of the association showing each Authorised Officer's full name and position or role.
 - The Authorised Officer(s) must be identified using the Identification Reference - Individual (KYC) form 1133, except if previously authorised.

First Authorised Officer

Full Name (surname last) and Position or Role

Signature

Second Authorised Officer
(where joint signatures are required)

Full Name (surname last) and Position or Role

Signature

* For a list of certifying officers, see Identification Reference - Individual (KYC) form 1133.

Bank Use Only

Verification of Australian Company/Association/Co-operative

Registered Company

Full Name of Company as Registered by ASIC	Verification
<input type="text"/>	<input type="checkbox"/>
ACN Issued	
<input type="text"/>	<input type="checkbox"/>
Proprietary Company or Public Company	
<input type="text"/>	<input type="checkbox"/>

Check www.asic.gov.au

Registered Association/Co-operative

Source and Type of ID Document	Verification
<input type="text"/>	<input type="checkbox"/>
Full Name of Association/Co-operative	
<input type="text"/>	<input type="checkbox"/>
ACN issued by ASIC or Unique Number of other State/Territory Body	
<input type="text"/>	<input type="checkbox"/>

Identification/registration document must be supplied by the association (from the relevant registration body or rules or constitution of the association) or co-operative (from the relevant registration body or register of the co-operative).

Unregistered Association

Type of ID Document	Verification
<input type="text"/>	<input type="checkbox"/>
Full Name	
<input type="text"/>	<input type="checkbox"/>

Identification document must be supplied by the association (rules or constitution of the association).

Verification of First Authorised Officer Position

Type of ID Document	Verification
<input type="text"/>	<input type="checkbox"/>
Issued by	
<input type="text"/>	<input type="checkbox"/>
Full Name (surname last)	
<input type="text"/>	<input type="checkbox"/>
Official Position or Role of Person	
<input type="text"/>	<input type="checkbox"/>
Date of Birth (if shown)	
<input type="text"/>	<input type="checkbox"/>
Address of Person (if shown)	
<input type="text"/>	<input type="checkbox"/>

Verification of Second Authorised Officer Position (if any)

Type of ID Document	Verification
<input type="text"/>	<input type="checkbox"/>
Issued by	
<input type="text"/>	<input type="checkbox"/>
Full Name (surname last)	
<input type="text"/>	<input type="checkbox"/>
Official Position or Role of Person	
<input type="text"/>	<input type="checkbox"/>
Date of Birth (if shown)	
<input type="text"/>	<input type="checkbox"/>
Address of Person (if shown)	
<input type="text"/>	<input type="checkbox"/>

Identification document must be supplied by the Authorised Officer(s) of a registered company/association/co-operative from the relevant registration body or their approved information broker(s). If the association is unregistered, the Authorised Officer(s) must supply recent minutes of the association.

Entity
in
TRIM
Initials

Checked
Initials

Accepted
Initials